



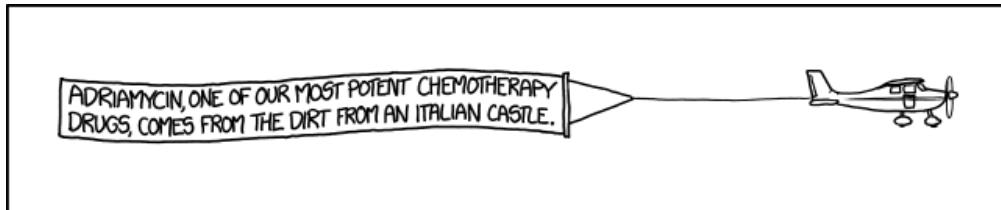
SFSPM Novembre 2017

Valeur prédictive et pronostique de l'infiltrat immunitaire dans les cancers du sein traités par chimiothérapie néoadjuvante



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Institut Curie



MY HOBBY:
BREAKING INTO AIRPLANE HANGARS AND REPLACING
THE ADS ON THEIR GIANT BANNERS WITH COOL FACTS

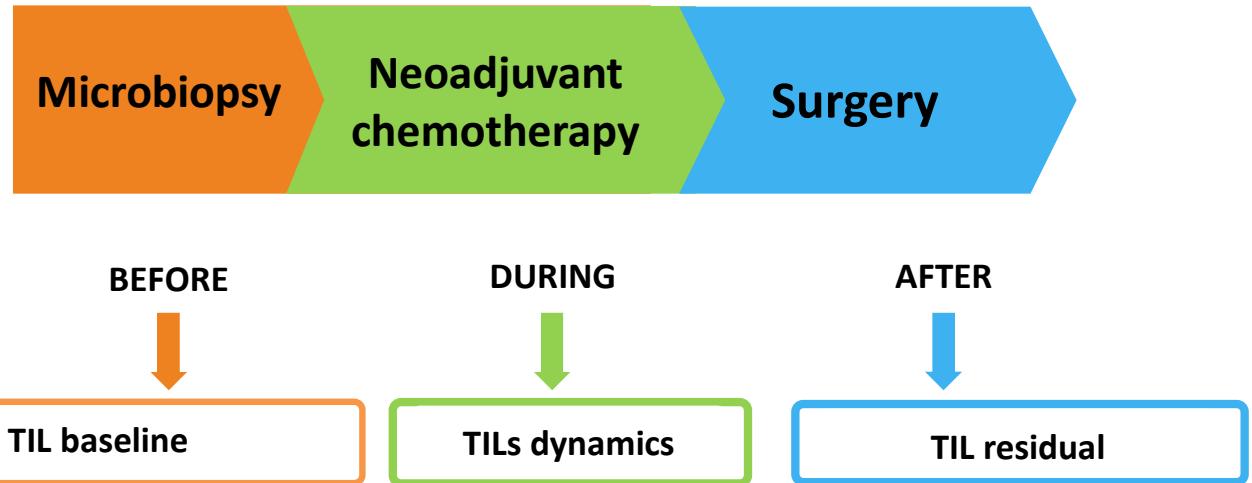
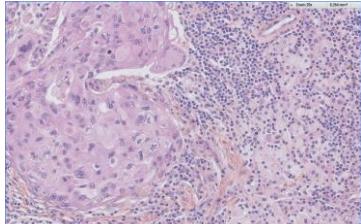
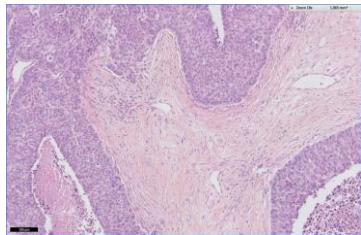
Tumor infiltration in the neoadjuvant setting

- Role of tumor-infiltrating lymphocytes (TILs)
 - High levels of TILs at diagnosis : better response to NAC
 - Better prognosis (triple negative breast cancers (TNBC) and *HER2*-positive BC)
- TILs following neoadjuvant chemotherapy (NAC) ?

| | Myashita | Dieci | Loi | Hamy |
|-----------------------------|-------------|-------------|-------------|------------------|
| BC subtype | TNBC | TNBC | TNBC | <i>HER2</i> -pos |
| Numbers | n=131 | n=278 | n=111 | n=175 |
| Pairs pre & post | n=78 | n=19 | n=39 | n=175 |
| Analysis in pCR patients | No | No | No | Yes |

Tumor infiltration and response to treatment in breast cancer

NEOREP cohort
Institut Curie
2002-2011
n=718



Identify patterns of immune infiltration related to response to treatment and prognosis

Patients characteristics

NEOREP cohort

n=718

Mean age : 48 y.o

TNBC 44%

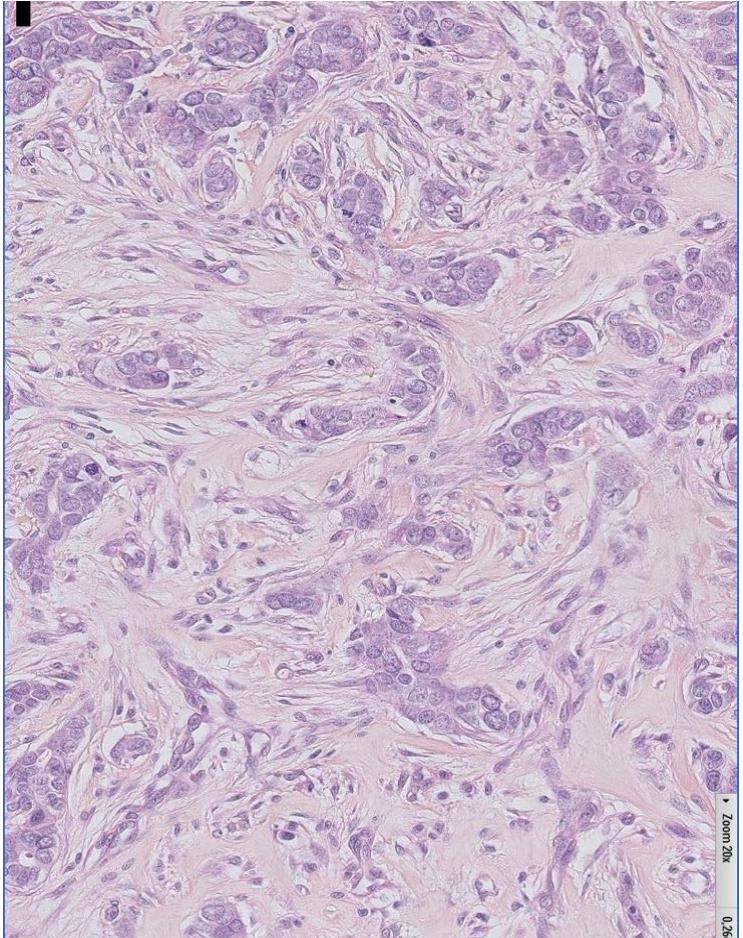
Luminal 31%

HER2 24%

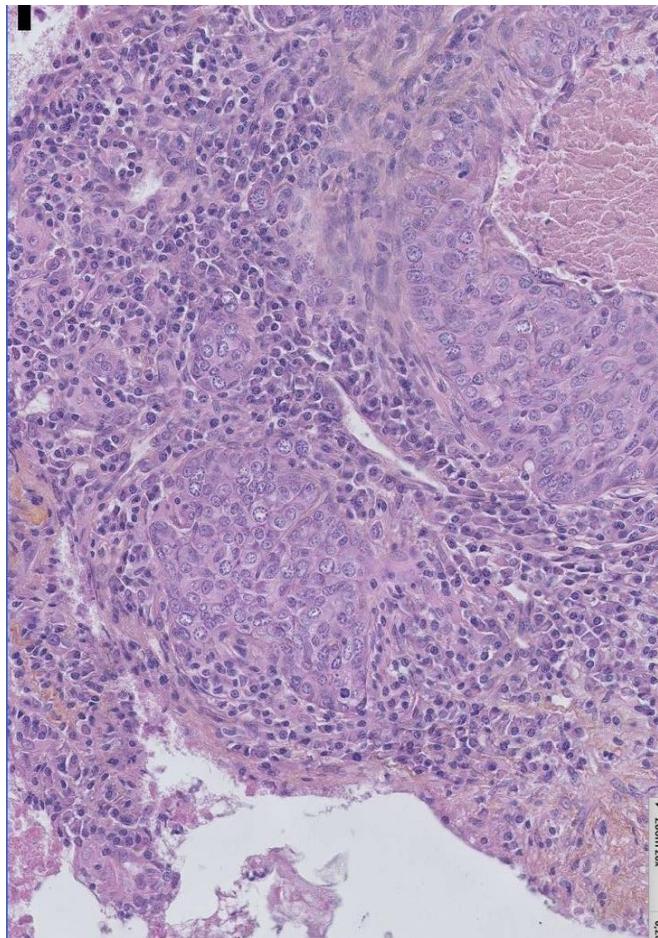
Median tumor size: 45mm

Anthra – taxanes (80.4%)

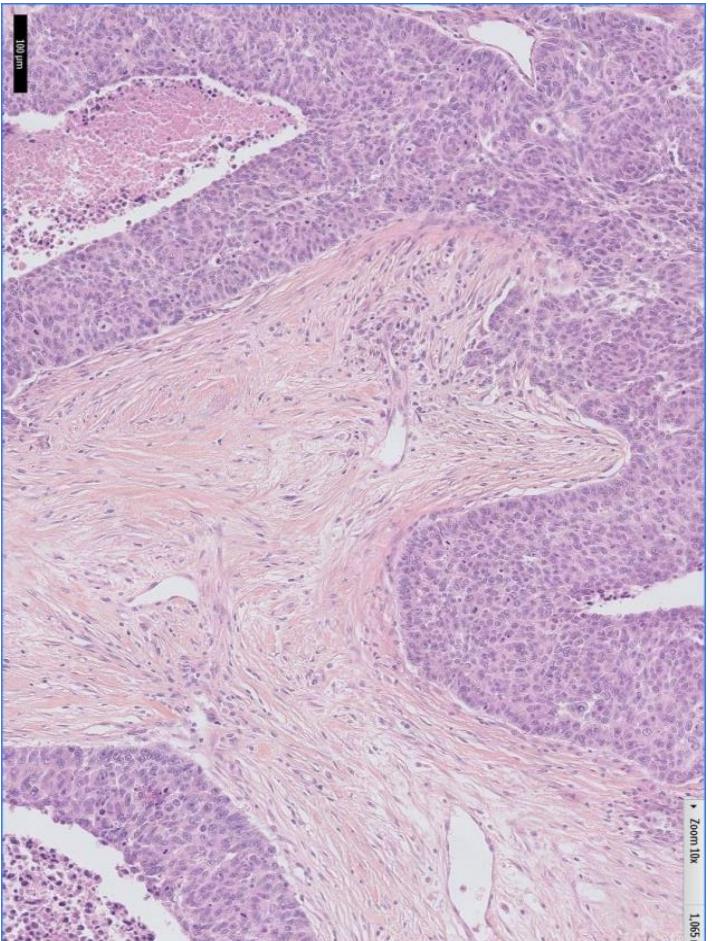
| | | N=718 |
|--|---------------------------------|--------------|
| | BMI class | |
| | BMI<19 | 41 (5.7) |
| | BMI: 19 to 25 | 414 (57.7) |
| | BMI: 25 to 30 | 166 (23.2) |
| | BMI>30 | 96 (13.4) |
| | Tumor size | 45.0 (20.5) |
| | Tumor size | |
| | T1 | 47 (6.5) |
| | T2 | 482 (67.1) |
| | T3 | 189 (26.3) |
| | Clinical node status | |
| | N0 | 282 (39.3) |
| | N1-N2-N3 | 435 (60.7) |
| | BC subtype | |
| | Luminal | 223 (31.1) |
| | TNBC | 320 (44.6) |
| | HER2 | 175 (24.4) |
| | Histology | |
| | Ductal carcinoma NST | 661 (92.6) |
| | Other | 53 (7.4) |
| | Grade | |
| | Grade I-II | 211 (30.1) |
| | Grade III | 491 (69.9) |
| | NAC regimen | |
| | Anthracyclines based regimens | 61 (8.5) |
| | Anthracyclines-taxanes regimens | 577 (80.4) |
| | Others | 80 (11.1) |



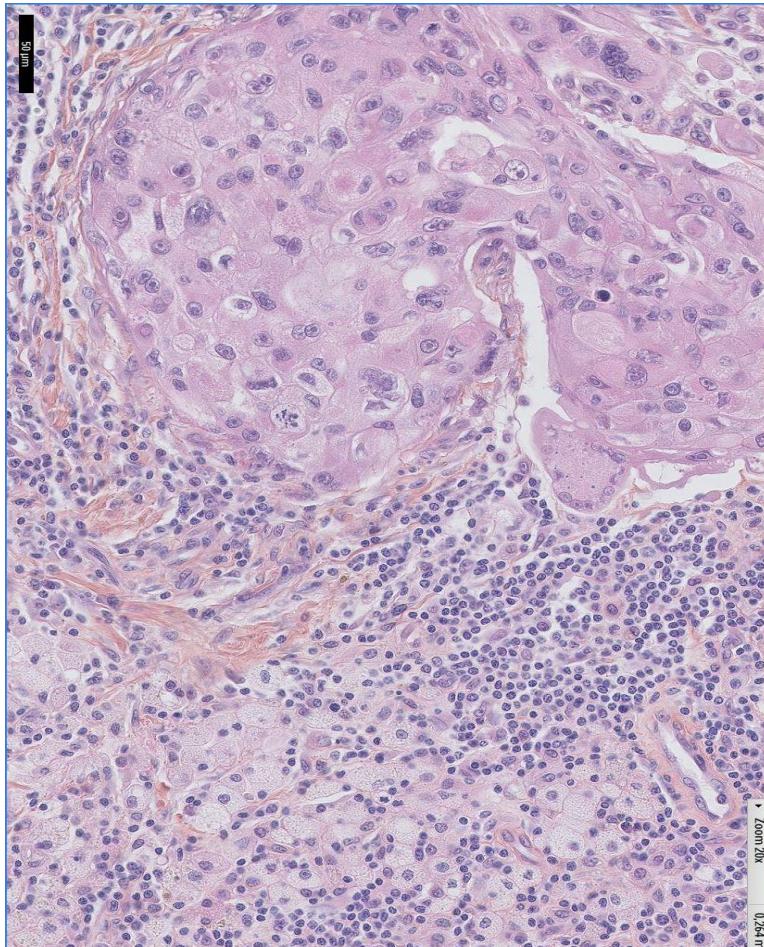
Microbiopsy before NAC TIL=2%



Microbiopsy before NAC TIL>60%



Surgical Specimen after NAC TIL=2%

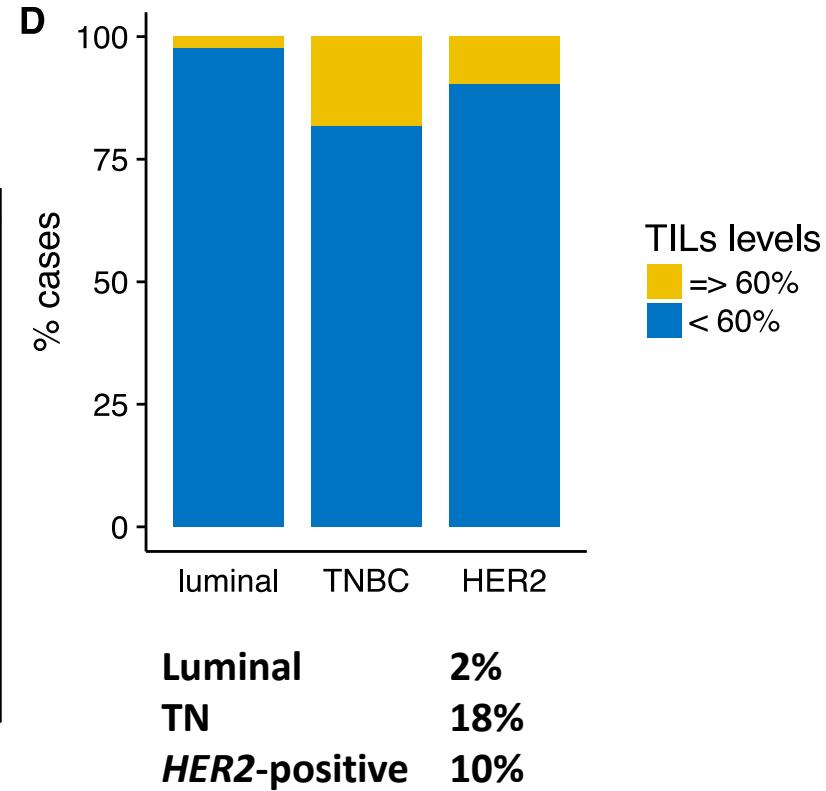
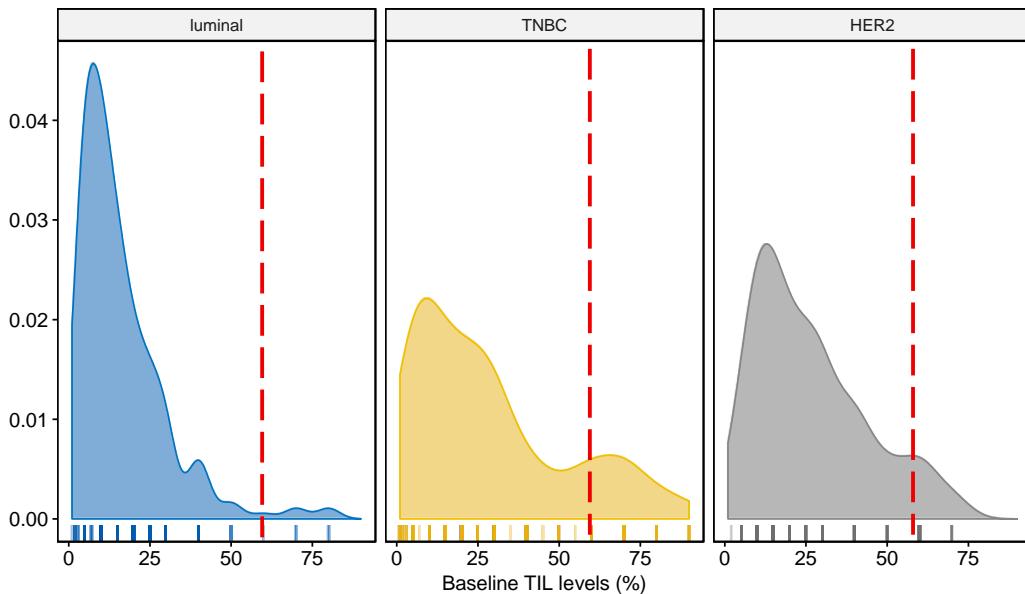


Surgical Specimen after NAC TIL>60%

Lymphocyte PBC before neoadjuvant chemotherapy is a *rare* entity

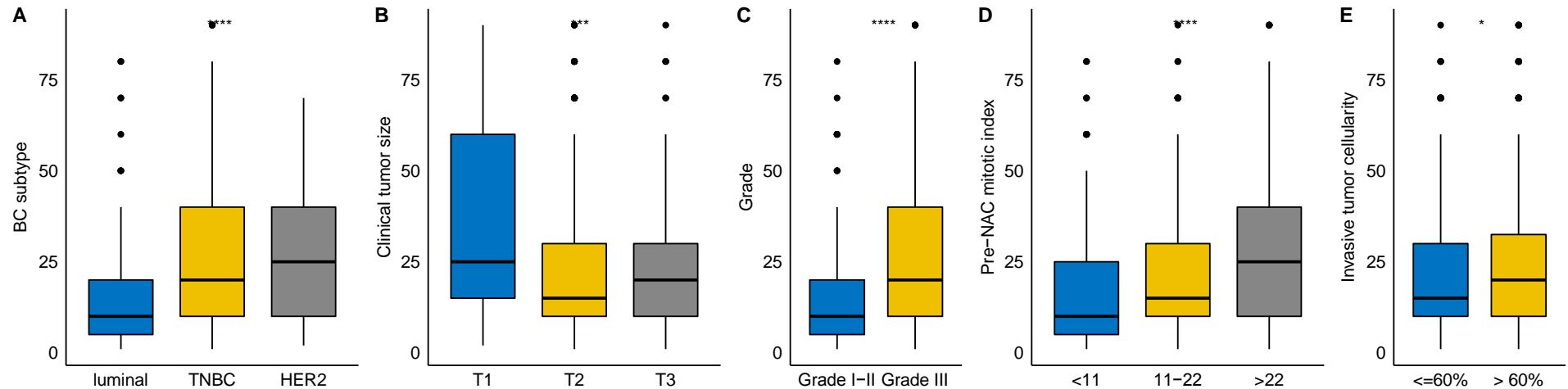
Lymphocyte predominant breast cancer

Cut-off stromal TILs : ≥ 50 or 60%



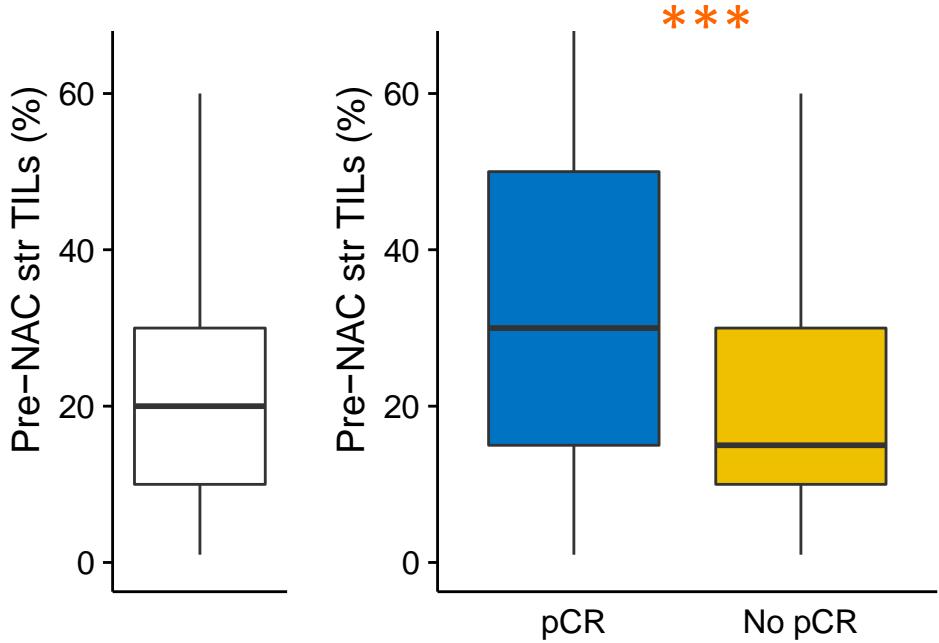
Baseline TILs vary by BC subtype

Baseline TILs are associated with aggressive tumor characteristics



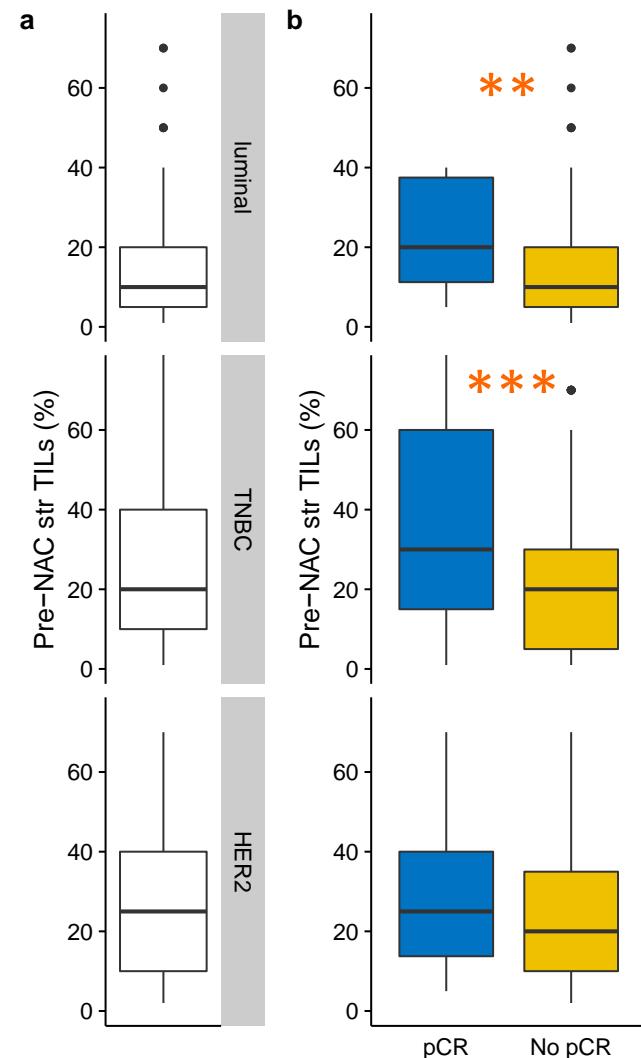
Response to treatment

Baseline TIL levels* are associated with pCR

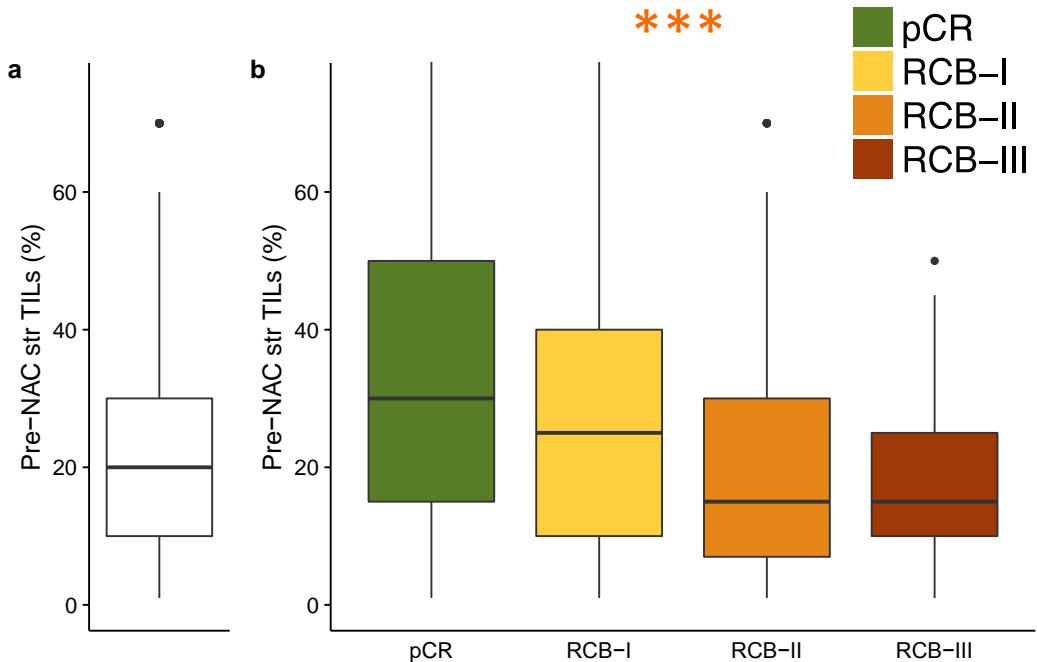


Luminal and **TNBC** and **NOT** in **HER2+** BC

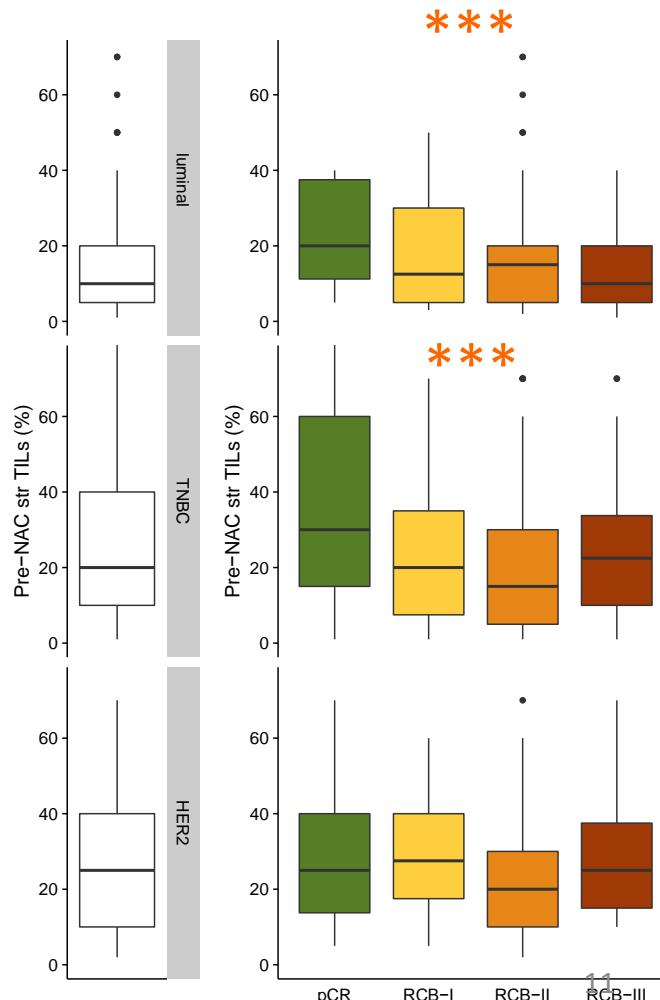
Significant interaction by BC subtype ($p=0.04$)



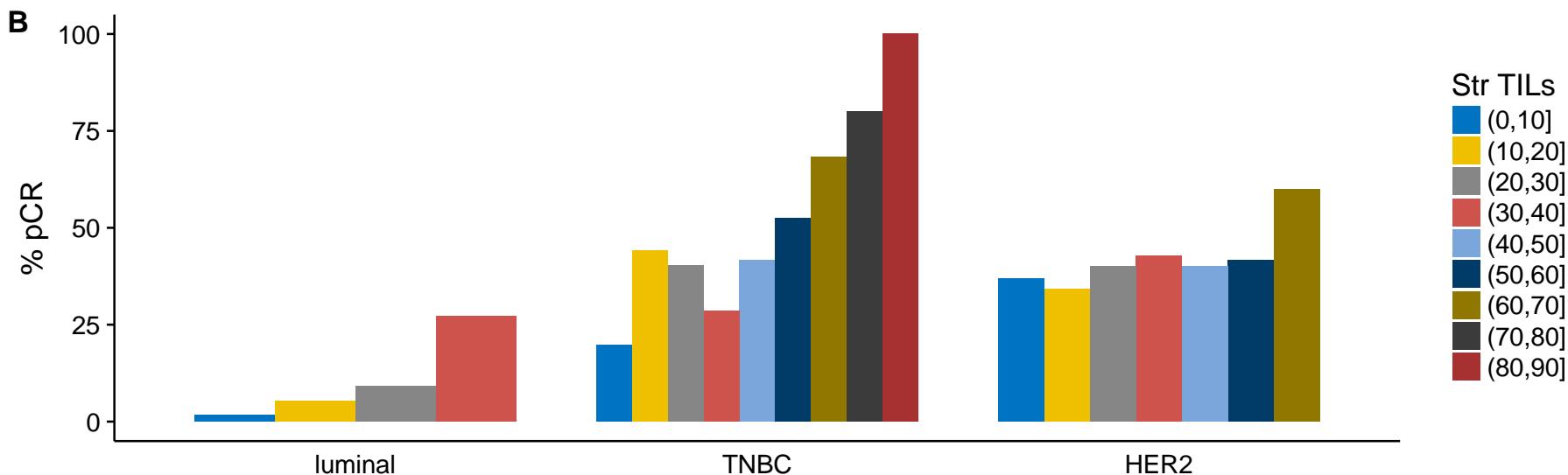
Baseline TIL levels* are associated with RCB



In luminal and TNBC, NOT in HER2+ BC



Baseline TILs levels are associated with pCR in luminal and TNBC not in HER2-positive BC

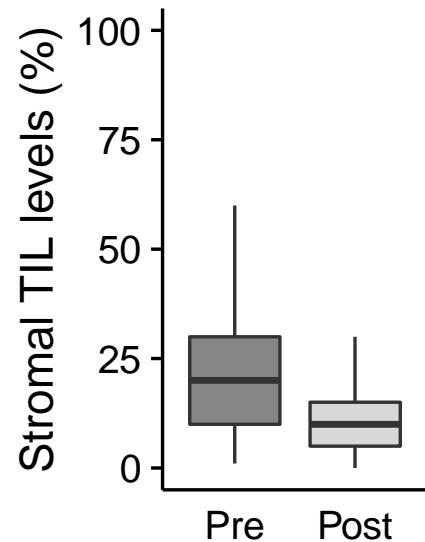


TILs dynamic

TILs levels decrease after neoadjuvant chemotherapy

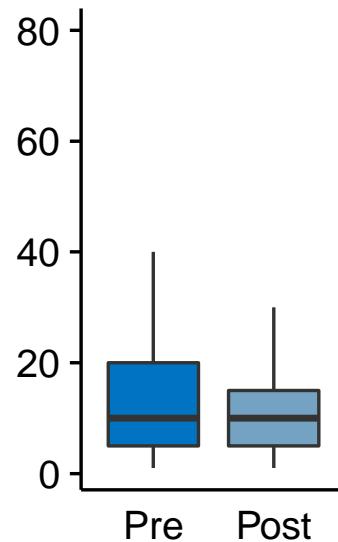
A

All



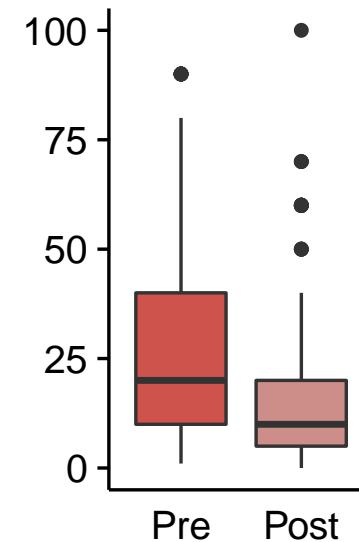
B

Luminal



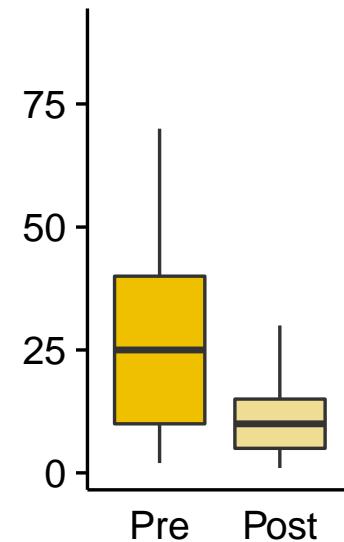
C

TNBC



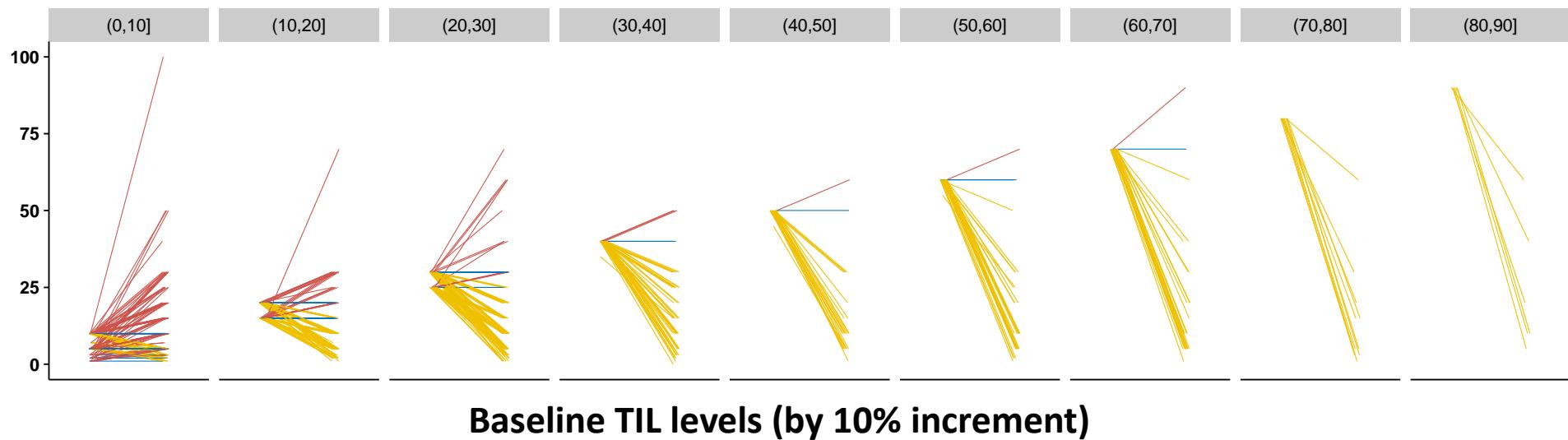
D

HER2

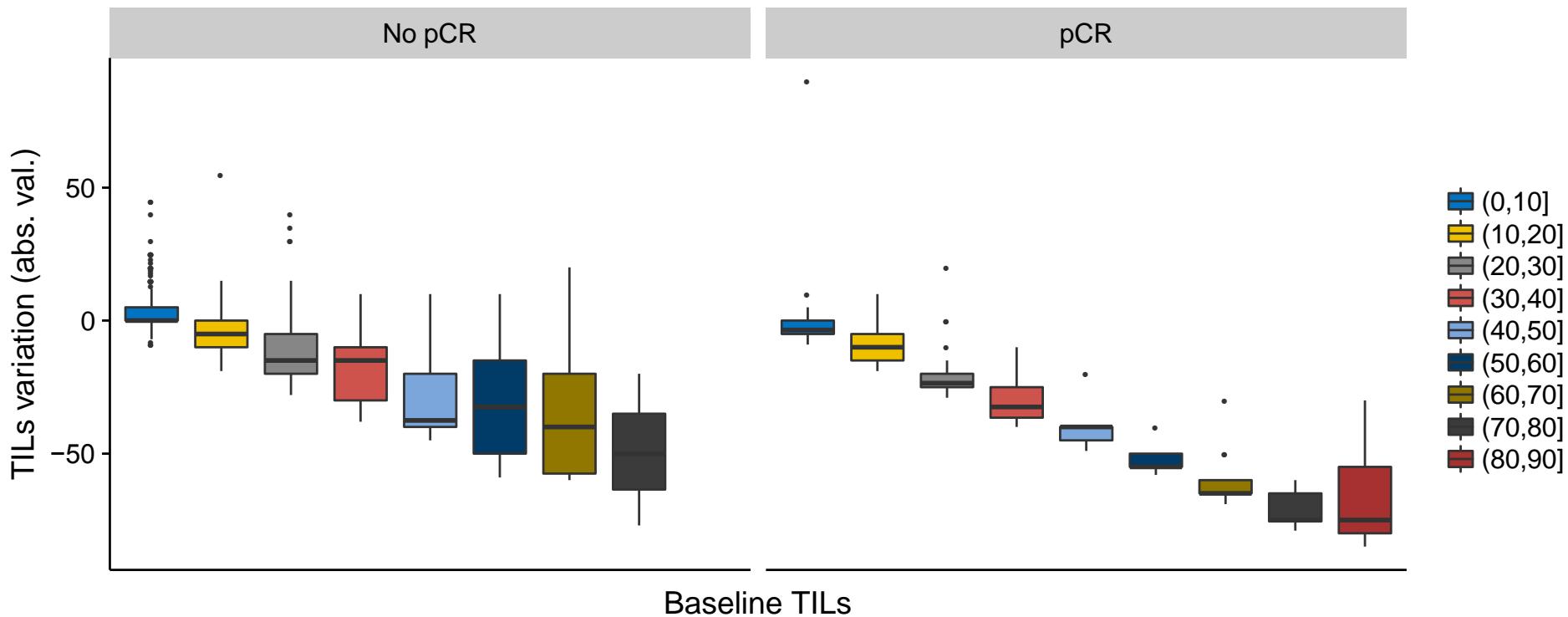


TILs dynamics is strongly correlated with baseline TILs levels ...

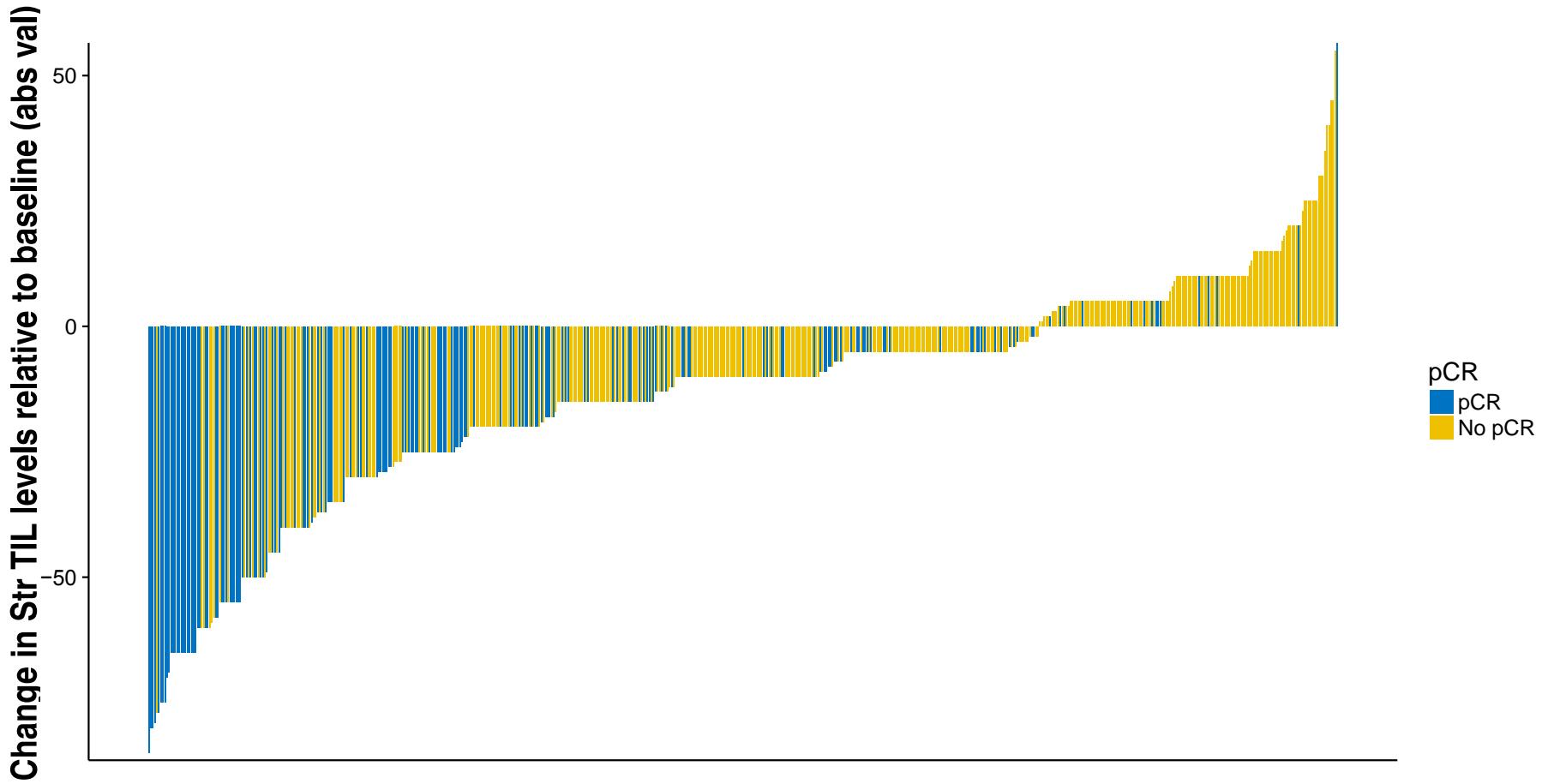
TILs changes before and after NAC



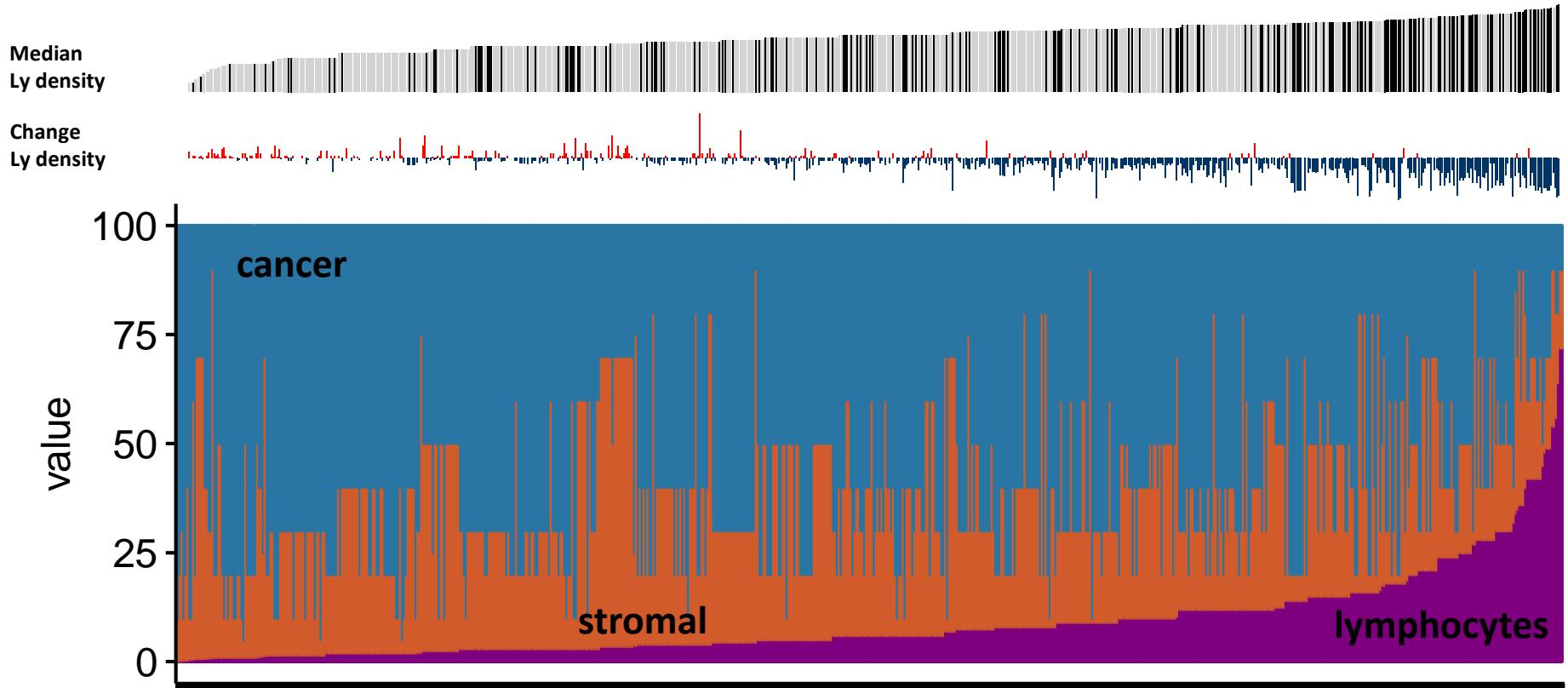
... irrespective of response to treatment



A *high decrease* in stromal TIL levels is associated with pCR

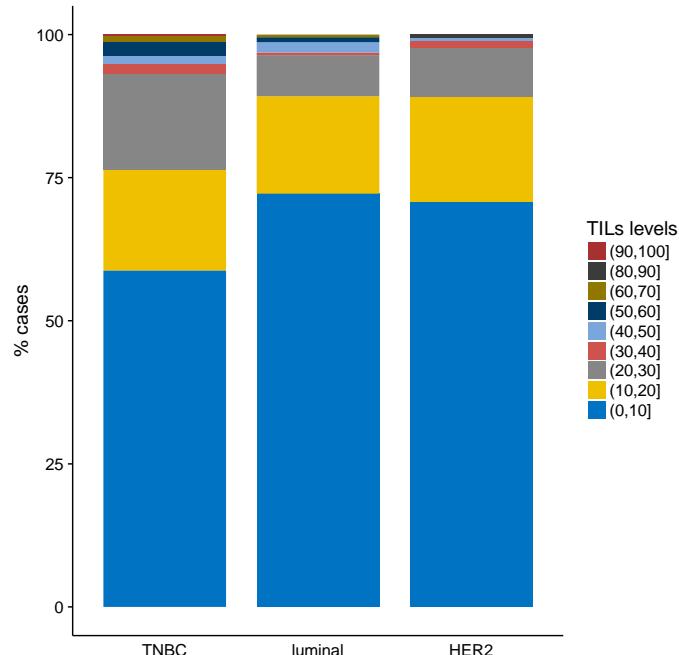


High baseline TILs and high decrease of lymphocytes after NAC are associated with pCR

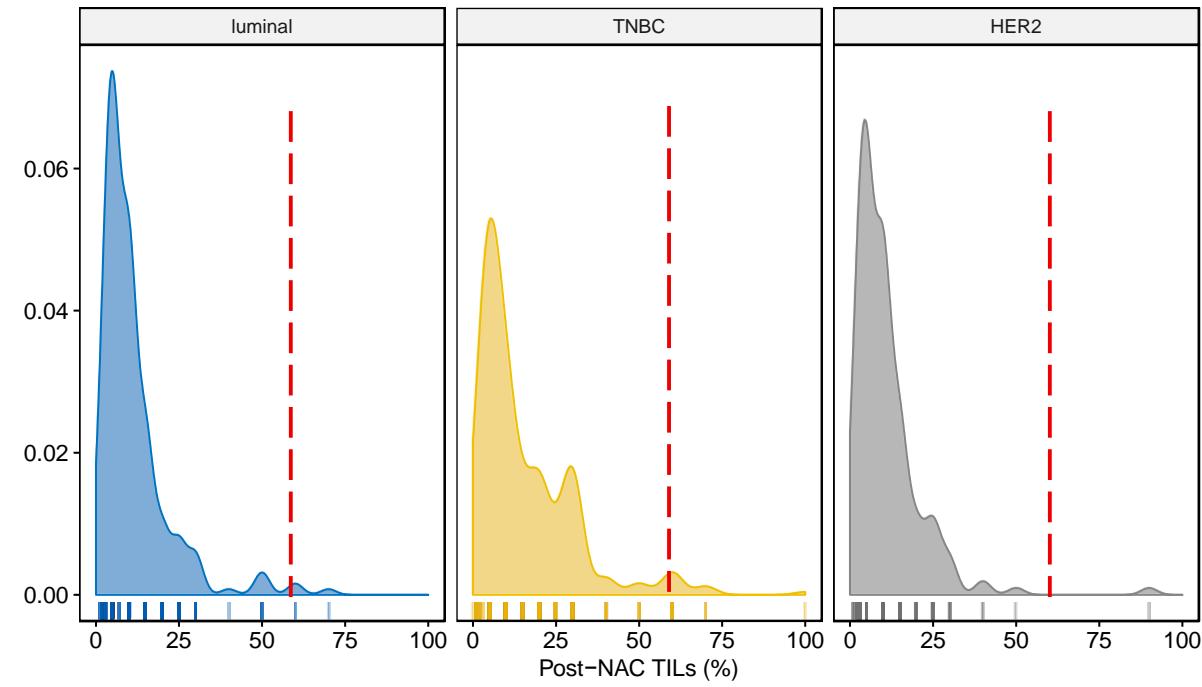


Post-NAC stromal TILs

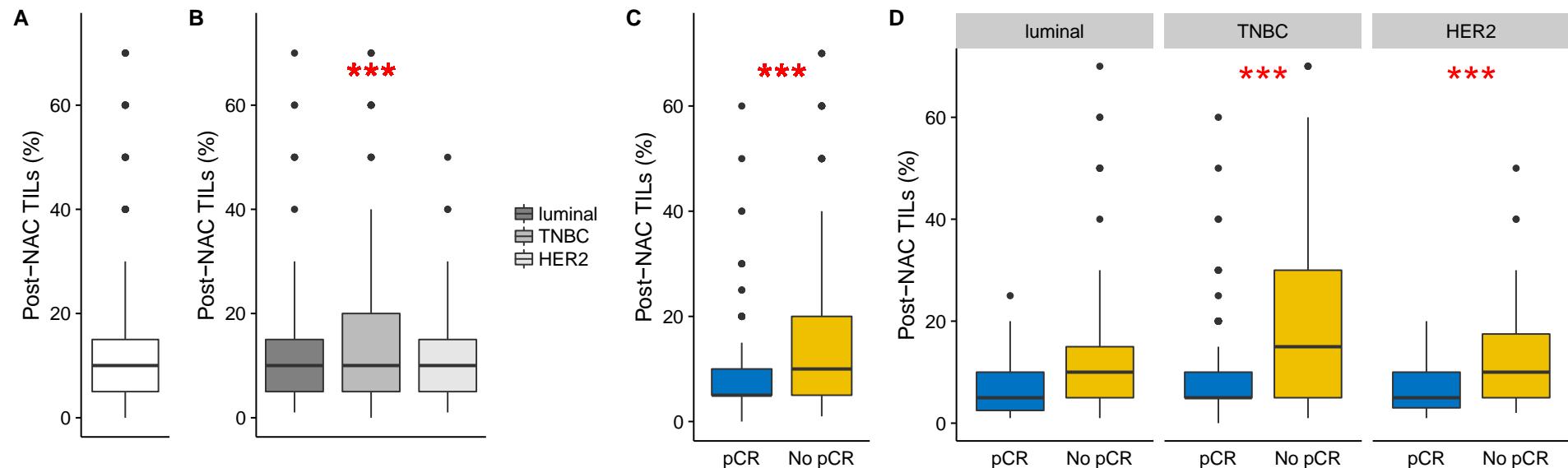
Lymphocyte-predominant breast Cancer is even *rarer* after NAC than before



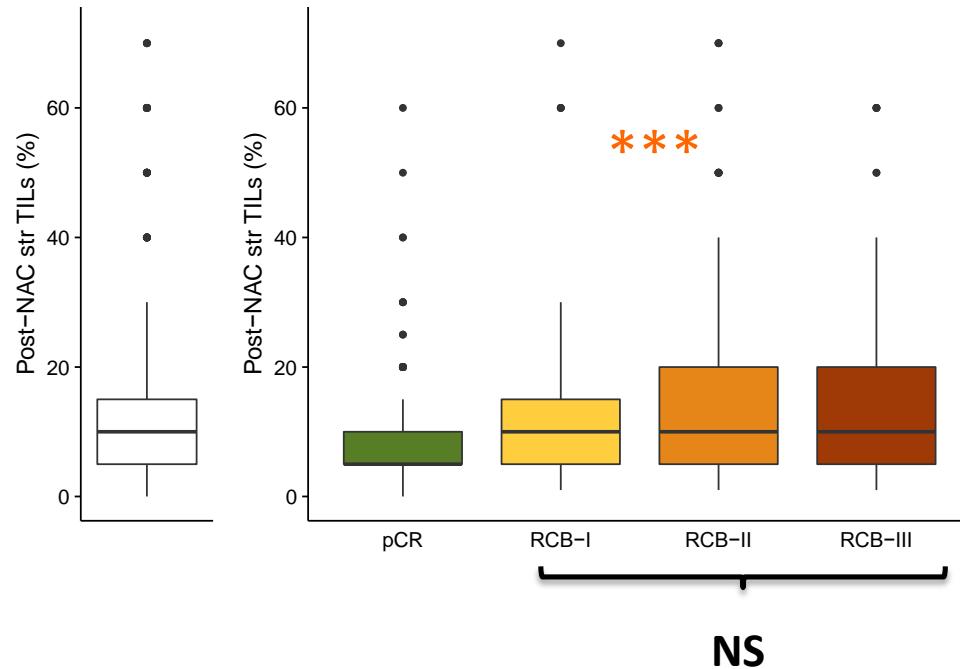
Luminal 1%
TN 4%
HER2-positive 1%



Post-NAC TILs are *higher* in tumors with *residual disease* than in pCR specimens



Higher post-NAC TIL levels are associated with increasing RCB only in *HER2*-positive BC

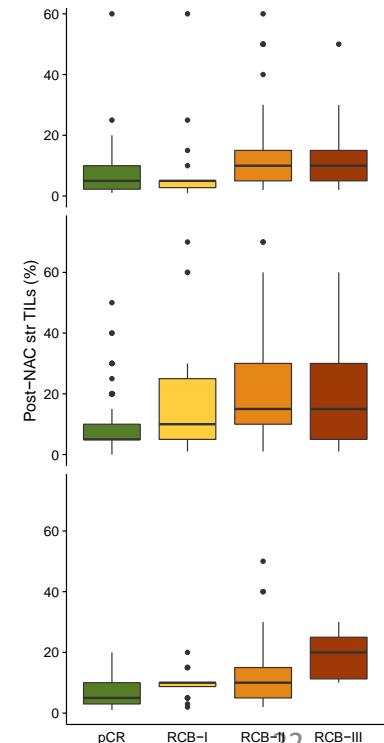


$$(P_{interaction} = 0.04)$$

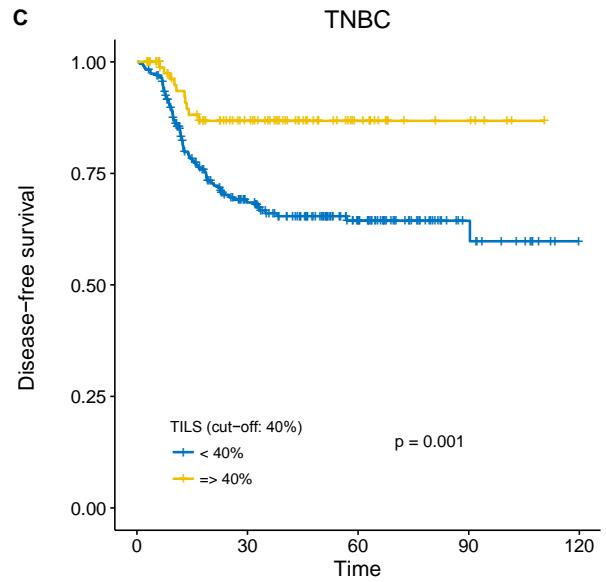
luminal

TNBC

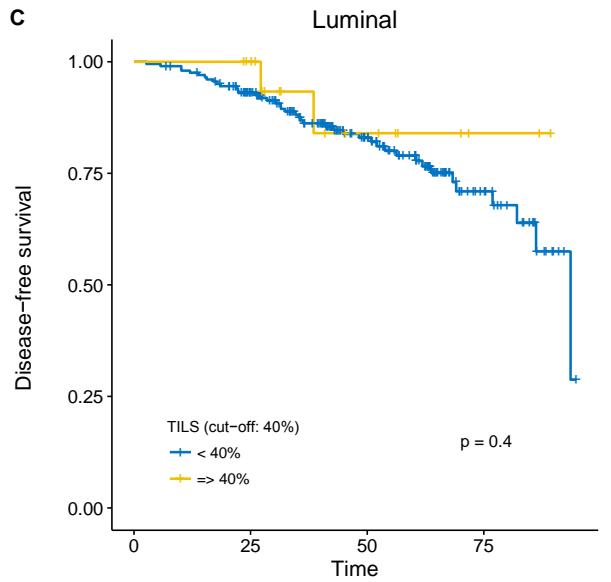
HER2



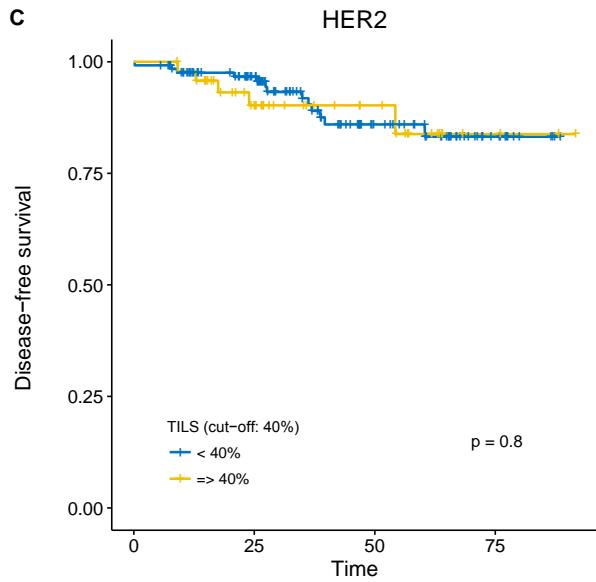
Impact on prognosis



| number at risk | | | | |
|----------------|-----|-----|----|----|
| < 40% | 228 | 118 | 58 | 14 |
| >= 40% | 91 | 49 | 19 | 7 |

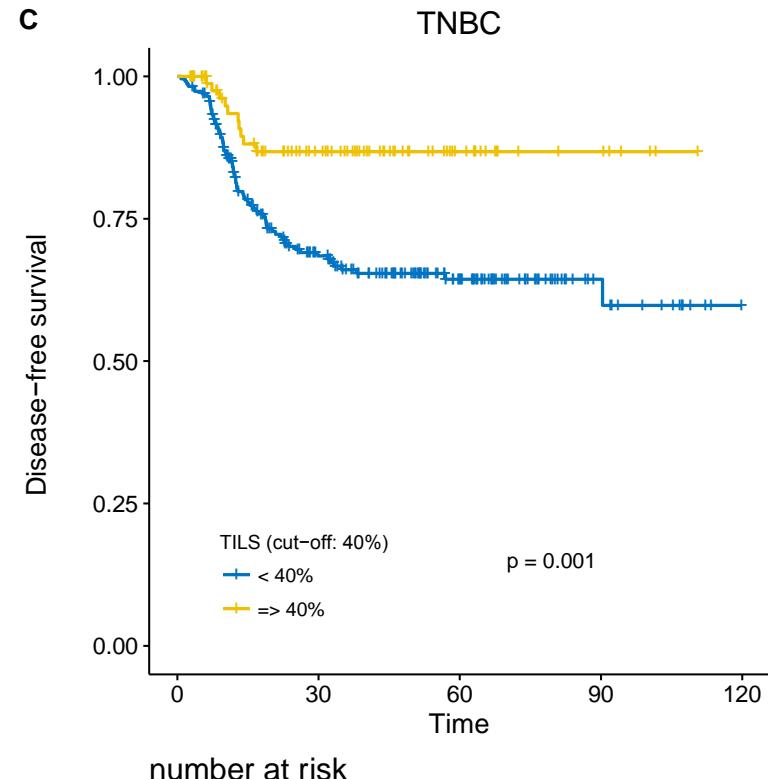
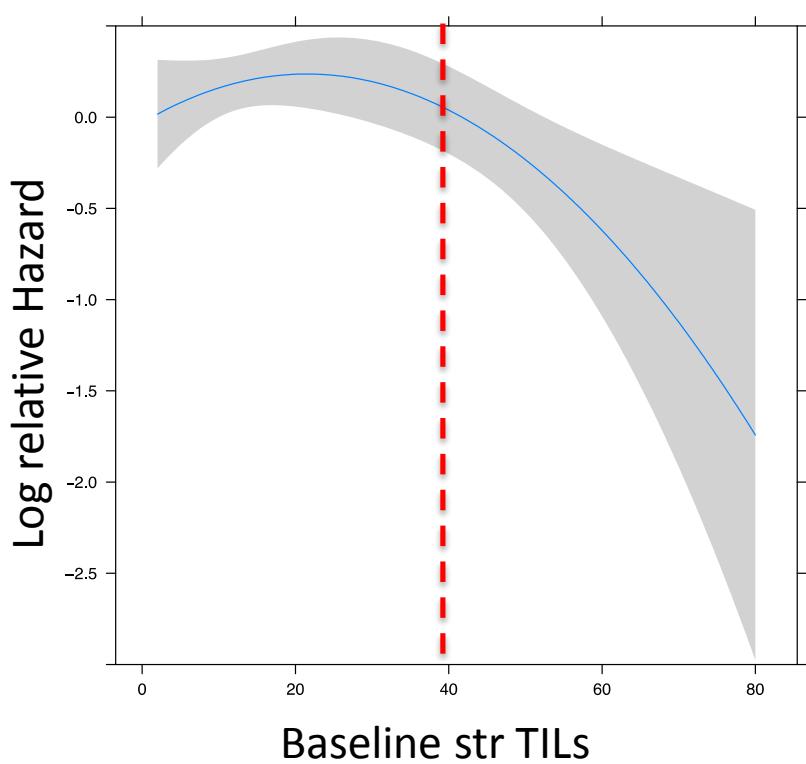


| number at risk | | | | |
|----------------|-----|-----|----|----|
| < 40% | 204 | 172 | 91 | 26 |
| >= 40% | 19 | 17 | 7 | 2 |



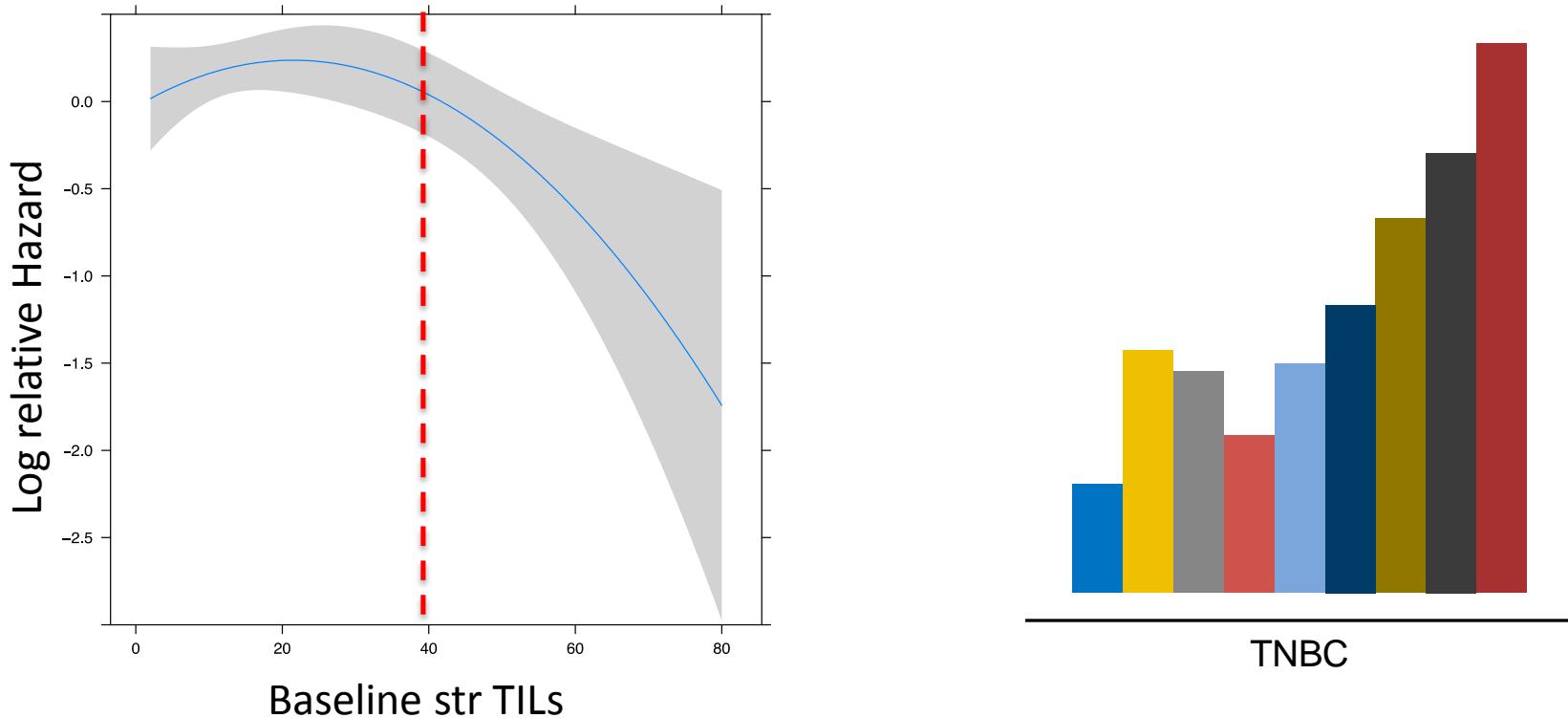
| number at risk | | | | |
|----------------|-----|----|----|----|
| < 40% | 127 | 94 | 40 | 11 |
| >= 40% | 48 | 30 | 15 | 3 |

Baseline stromal TIL levels are associated with DFS in TNBC



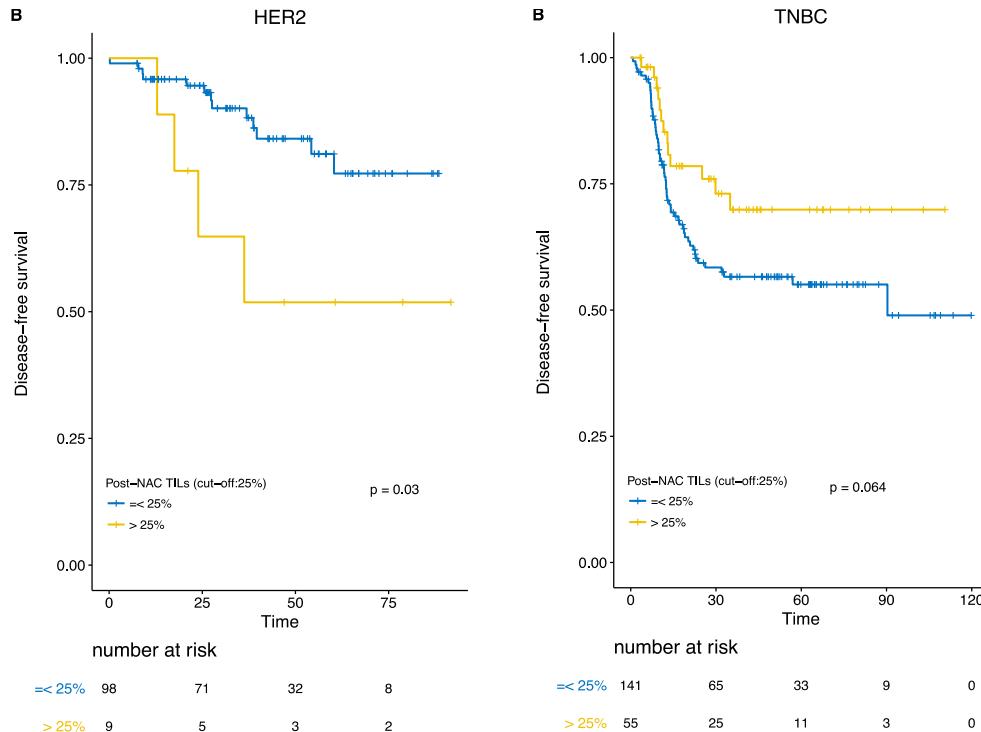
| | | | | | |
|-------------|-----|-----|----|----|---|
| < 40% | 228 | 118 | 58 | 14 | 0 |
| $\geq 40\%$ | 91 | 49 | 19 | 7 | 0 |

Baseline stromal TIL levels are associated with DFS in TNBC



Post-NAC TILs are *NOT* associated with DFS, but this effect differs by BC subtype

- **Significant interaction** with BC subtype
- Effect of post-NAC TILs on DFS **different in TNBC / HER2-positive** ($P_{\text{interaction}} = 0.04$)
- Effect linear : cut-off unknown ?



Adverse impact of high post-NAC TILs in RD in HER2-positive BC, but trend to protective effect in TNBC

Take home messages

- Confirmation association pre-NAC TILs – pCR
- Dynamics : The highest baseline TILs are, the highest their level decrease
- High TILs decrease correlates with pCR
- Different prognostic value pre and post-NAC => Complex interactions BC subtype and TILs
- TILs subsetting is pivotal to understand mechanisms of resistance to treatment
- Assessment pre NAC & post NAC should become routine practice



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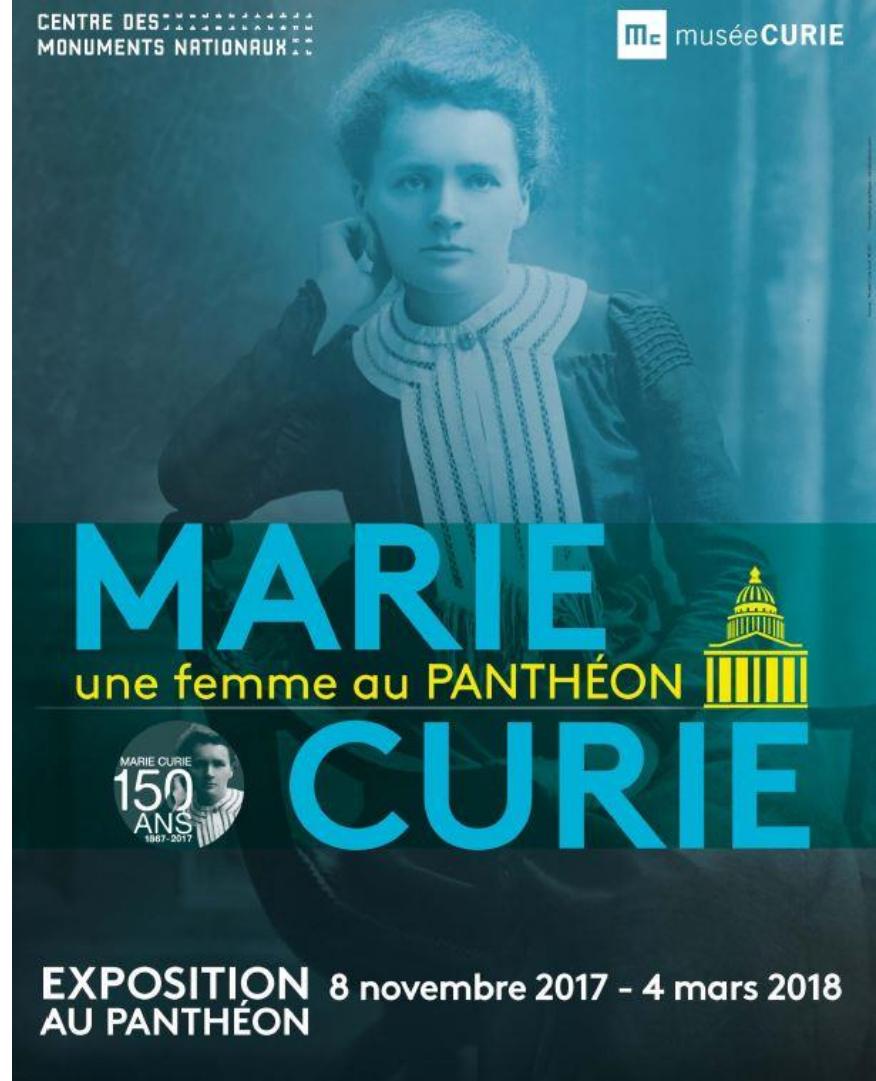
Anne-sophie Hamy-Petit
Hélène Bonsang Kitzis

Department of tumour biology

Marick Laé
Lucian Topiu
Diane Decroze

CENTRE DES MONUMENTS NATIONAUX

musée CURIE



Multivariate analysis pCR

| name | levels | Univariate | | | Multivariate | | |
|-----------------------------|---|------------|---------------|--------|--------------|-----------------|--------|
| | | OR | 95%CI | pval | OR | 95%CI | p |
| Age | <45 y.o | 1 | | | | | |
| | 45-55 y.o | 0.97 | [0.66 - 1.42] | 0.877 | | | |
| Menopausal status | >55 y.o | 1.4 | [0.93 - 2.11] | 0.101 | | | |
| | postmenopausal | 1 | | | | | |
| BMI class | premenopausal | 0.8 | [0.57 - 1.12] | 0.193 | | | |
| | BMI: 19 to 25 | 1 | | | | | |
| Tumor size (2 cl) | BMI<19 | 0.56 | [0.24 - 1.19] | 0.156 | | | |
| | BMI: 25 to 30 | 0.76 | [0.5 - 1.14] | 0.186 | | | |
| Clinical nodal status | BMI>30 | 0.9 | [0.55 - 1.47] | 0.69 | | | |
| | T1-T2 | 1 | | | | | |
| ER status | T3 | 0.8 | [0.54 - 1.16] | 0.245 | | | |
| | NO | 1 | | | | | |
| PR status | N1-N2-N3 | 0.9 | [0.65 - 1.26] | 0.546 | | | |
| | ER negative | 1 | | | | | |
| HER2 status | ER positive | 0.2 | [0.13 - 0.29] | <0.001 | | | |
| | PR negative | 1 | | | | | |
| BC subtype | PR positive | 0.13 | [0.08 - 0.22] | <0.001 | | | |
| | HER2 negative | 1 | | | | | |
| Histology | HER2 positive | 1.94 | [1.35 - 2.78] | <0.001 | | | |
| | TNBC | 1 | | | 1 | | |
| Grade | luminal | 0.08 | [0.04 - 0.15] | <0.001 | 0.09 | [0.04 - 0.18] | <0.001 |
| | HER2 | 1.02 | [0.7 - 1.48] | 0.927 | 1.01 | [0.68 - 1.5] | 0.958 |
| ki67 | ductal | 1 | | | | | |
| | other | 0.82 | [0.41 - 1.52] | 0.543 | | | |
| NAC regimen | Grade I-II | 1 | | | | | |
| | Grade III | 2.71 | [1.8 - 4.16] | <0.001 | | | |
| Pre-NAC mitotic index 3 | ki67<20 | 1 | | | | | |
| | ki67>=20 | 2.56 | [1.05 - 7.23] | 0.051 | | | |
| Pre-NAC tumor cellularity | Anthracyclines based regimens | 1 | | | | | |
| | Anthracyclines-taxanes regimens | 0.98 | [0.55 - 1.8] | 0.936 | | | |
| IS component (pre NAC) | Others | 1.32 | [0.64 - 2.76] | 0.456 | | | |
| | Mitotic index <= 10 | 1 | | | | | |
| Str TIL levels (continuous) | Mitotic index 11-22 | 1.38 | [0.86 - 2.25] | 0.187 | | | |
| | Mitotic index >22 | 2.05 | [1.34 - 3.19] | 0.001 | | | |
| IS component (pre NAC) | Pre-NAC tumor cellularity (inv.) <= 60% | 1 | | | | | |
| | Pre-NAC tumor cellularity (inv.) > 60% | 0.94 | [0.68 - 1.31] | 0.733 | | | |
| Str TIL levels (continuous) | No pre-NAC in situ component | 1 | | | | | |
| | Pre-NAC in situ component | 0.83 | [0.51 - 1.3] | 0.417 | | | |

Whole population

- **Baseline TILs**
(continuous or cut-off 40%)
- **BC subtype**

Multivariate analysis DFS

TNBC

- Baseline TILs
- RCB
- Post-NAC mitotic index

| | | TNBC | | | | | |
|---------------------------------|----------------------|------------|---------------|-------|--------------|------------------|--------|
| | | Univariate | | | Multivariate | | |
| TNBC | Class | HR | CI | p | HR | CI | p |
| Pre-NAC parameters | | | | | | | |
| Age, years | <45 | 1 | | 0.78 | | | |
| | 45-55 | 1.02 | [0.63 - 1.67] | 0.93 | | | |
| | >55 | 0.84 | [0.48 - 1.49] | 0.55 | | | |
| Menopause status | Post | 1 | | 0.47 | | | |
| | Pre | 1.18 | [0.75 - 1.86] | 0.47 | | | |
| BMI class | BMI < 25 | 1 | | 0.25 | | | |
| | BMI>= 25 | 1.29 | [0.83 - 1.98] | 0.26 | | | |
| Tumor size | T1-T2 | 1 | | <0.01 | | | |
| | T3 | 1.66 | [1.18 - 2.34] | <0.01 | | | |
| Clinical node status | N0 | 1 | | 0.15 | | | |
| | N1-N2-N3 | 1.38 | [0.89 - 2.15] | 0.15 | | | |
| Histology | Ductal carcinoma NST | 1 | | 0.44 | | | |
| | Other | 1.34 | [0.64 - 2.77] | 0.44 | | | |
| Grade | Grade I-II | 1 | | 0.6 | | | |
| | Grade III | 1.19 | [0.61 - 2.31] | 0.6 | | | |
| Ki 67 | <20% | 1 | | 0.52 | | | |
| | ≥20% | 1.32 | [0.56 - 3.14] | 0.52 | | | |
| Pre-NAC mitotic index | <11 | 1 | | 0.37 | | | |
| | 11-22 | 1.68 | [0.67 - 4.22] | 0.27 | | | |
| | >22 | 1.81 | [0.78 - 4.2] | 0.17 | | | |
| Pre-NAC inv. tumor cellularity | ≤60% | 1 | | 0.19 | | | |
| | > 60% | 0.74 | [0.47 - 1.17] | 0.19 | | | |
| Pre-NAC str TILs (cut-off 40%) | < 40% | 1 | | <0.01 | 1 | - | - |
| | ≥ 40% | 0.35 | [0.18 - 0.67] | <0.01 | 0.39 | [0.18 - 0.86] | 0.02 |
| Post-NAC parameters | | | | | | | |
| pCR | No pCR | 1 | | <0.01 | | | |
| | pCR | 0.22 | [0.12 - 0.41] | <0.01 | | | |
| RCB index | pCR | 1 | | <0.01 | | | |
| | RCB-I | 1.39 | [0.39 - 4.92] | 0.61 | 1 | - | - |
| | RCB-II | 3.52 | [1.84 - 6.73] | <0.01 | 1.67 | [0.21 - 12.99] | 0.626 |
| | RCB-III | 11.27 | [5.7 - 22.26] | <0.01 | 6.18 | [0.79 - 48.09] | 0.082 |
| Post-NAC Str TILS (cut-off 25%) | str TILs ≤ 25% | | | | | | |
| | str TILs > 25% | | | | | | |
| Post-NAC Mitotic index | ≤ 10 | 1 | | <0.01 | 1 | - | - |
| | 11-22 | 2.38 | [0.81 - 6.97] | 0.11 | 2.01 | [0.68 - 5.9] | 0.207 |
| | >22 | 4.89 | [2.46 - 9.71] | <0.01 | 4.92 | [2.41 - 10.05] | <0.001 |
| Post-NAC inv. tumor cellularity | ≤30% | 1 | | 0.07 | | | |
| | > 30% | 1.61 | [0.96 - 2.7] | 0.07 | | | |
| Post-NAC Str TILS (continuous) | | 0.99 | [0.98-1.01] | 0.79 | | | |

Multivariate analysis DFS

HER2

- Post-NAC TILs
- Grade
- BMI

| TNBC | Class | HER2 | | | | | |
|---------------------------------|----------------|------------|-----------------|-------|--------------|------------------|-------|
| | | Univariate | | | Multivariate | | |
| | | HR | CI | p | HR | CI | p |
| Pre-NAC parameters | | | | | | | |
| Age, years | <45 | 1 | | 0.33 | | | |
| | 45-55 | 1.29 | [0.48 - 3.43] | 0.62 | | | |
| Menopause status | >55 | 0.42 | [0.09 - 1.97] | 0.27 | | | |
| | Post | 1 | | 0.38 | | | |
| BMI class | Pre | 1.58 | [0.56 - 4.44] | 0.38 | | | |
| | BMI < 25 | 1 | | <0.01 | 1 | - | - |
| Tumor size | BMI >= 25 | 3.37 | [1.33 - 8.57] | 0.01 | | | |
| | T1-T2 | 1 | | 0.15 | 4.83 | [1.75 - 13.36] | 0.002 |
| Clinical node status | T3 | 1.96 | [0.78 - 4.98] | 0.15 | | | |
| | N0 | 1 | | 0.57 | | | |
| ER status | N1-N2-N3 | 1.35 | [0.48 - 3.8] | 0.57 | | | |
| | Negative | 1 | | 0.81 | | | |
| PR status | Positive | 1.12 | [0.44 - 2.85] | 0.81 | | | |
| | Negative | 1 | | 0.74 | | | |
| Grade | Positive | 0.84 | [0.29 - 2.41] | 0.74 | | | |
| | Grade I-II | 1 | | 0.01 | 1 | - | - |
| Pre-NAC mitotic index | Grade III | 0.32 | [0.13 - 0.81] | 0.02 | | | |
| | <11 | 1 | | 0.63 | 0.18 | [0.06 - 0.54] | 0.002 |
| Pre-NAC inv. tumor cellularity | 11-22 | 0.72 | [0.25 - 2.06] | 0.54 | | | |
| | >22 | 0.56 | [0.16 - 1.92] | 0.36 | | | |
| Pre-NAC str TILs (continuous) | ≤60% | 1 | | 0.7 | | | |
| | > 60% | 0.83 | [0.33 - 2.1] | 0.7 | | | |
| Pre-NAC str TILs (cut-off 40%) | < 40% | 1 | | 0.61 | | | |
| | ≥ 40% | 1.14 | [0.41 - 3.21] | 0.8 | 0.8 | | |
| Post-NAC parameters | | | | | | | |
| pCR | No pCR | 1 | | <0.01 | | | |
| | pCR | 0.09 | [0.01 - 0.7] | 0.02 | | | |
| RCB index | pCR | 1 | | <0.01 | | | |
| | RCB-I | 3.29 | [0.21 - 52.63] | 0.4 | | | |
| Post-NAC Str TILs (cut-off 25%) | RCB-II | 11.01 | [1.43 - 84.72] | 0.02 | | | |
| | RCB-III | 19.99 | [2.23 - 178.86] | <0.01 | | | |
| Post-NAC Mitotic index | str TILs ≤ 25% | 1 | | <0.01 | 1 | - | - |
| | str TILs > 25% | 5.05 | [1.66 - 15.36] | <0.01 | | | |
| Post-NAC inv. tumor cellularity | ≤ 10 | 1 | | 0.03 | 9.61 | [2.51 - 36.8] | 0.001 |
| | 11-22 | 1.6 | [0.2 - 12.66] | 0.66 | | | |
| Post-NAC Str TILs (continuous) | > 22 | 3.52 | [1.31 - 9.47] | 0.01 | | | |
| | ≤ 30% | 1 | | 0.13 | | | |
| Post-NAC Str TILs (continuous) | > 30% | 2.07 | [0.8 - 5.38] | 0.13 | | | |
| | | 1 | | <0.01 | | | |